Dashboard Methodology: 2A

Commitment 2: Strong Small-Scale Farming Systems

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| 2A | Legal and institutional framework in place at national level to support family farmers |

Section 1: Description of Indicator, Implementation and Scoring

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| Method to be Used | Expert-Based Assessment  |

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| Introduction | Indicator 2A measures the existence of specific policies, programs and initiatives currently in place to support strong family farming systems. |
| Source of Methodology | Dashboard, in consultation with World Rural Form (WRF) and World Agricultures Watch (WAW). |
| Data Needed | The information necessary for this indicator can be found in the countries’ national laws, its constitution, and ratified international agreements and conventions. |
| Definitions | For all Dashboard indicators related to family farming or family farmers, we refer to the 2014 definition of Family Farming proposed by FAO in the context of the International Year of Family Farming: “Family Farming (which includes all family-based agricultural activities) is a means of organising agricultural, forestry, fisheries, pastoral and aquaculture production which is managed and operated by a family and predominantly reliant on family labour, including both women’s and men’s. The family and the farm are linked, co-evolve and combine economic, environmental, social and cultural functions”While the above definition is broad, and reflective of the diversity of the category itself, there are four criteria that are common to many definitions of family farming – including that of FAO and the UNDP – and are thus adopted for our purposes as well: • Management and operation by the family unit, and • Predominant reliance on family labour• Strong interlink between the family patrimony and the farm productive assets• The budget for the family and the farm operation budget are not separateThis definition should be considered as a guide, not a limitation. Below, a space is provided for each respondent to describe what the term “family farming” means in their country.  |

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| How to Implement | 1. All of the questions in Indicator 2A should be answered by a legal expert, which ideally has knowledge of the legal aspects of land tenure and land rights.
2. Indicator 2A is divided into sections, which we refer to as “blocks.” Each block can be considered a “sub-indicator,” as it will address a different aspect of the overall indicator.
	1. The following blocks are part of this indicator:
		1. Block 1: National Strategy and Political Will
		2. Block 2: Providing a Favorable Investment Climate
		3. Block 3: Improving Economic Viability through Research and Extension
		4. Block 4: Investing beyond the Farm
		5. Block 5: National Platform for Family Farming
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| How to Score | * For this indicator, all questions in all of the five blocks follow the same scoring system.
* For each block, you will have a “block score.” These scores will be added in the end to form your final score for Indicator 2A.
* For all blocks, there will be two kinds of questions available:
	+ Questions answered with “Yes,” “No,” or “Not Applicable” (N/A)
	+ Questions answered with a range from 0-3.
	+ For all questions answered “Yes” or with a number greater than zero, a reference to the corresponding law or policy should be included in the space below the question.
* For questions answered with “Yes,” “No” or “Not Applicable,”
	+ A “Yes” will receive three points.
	+ A “No” will receive zero points.
	+ A “Not Applicable” will receive no points, and three points will be deducted from the block’s total score ­–­ this is applicable to Block 1 and Block 5, see below.
* For questions answered with a range from 0-3, use the *Indicator 2A Rubric* as a scoring guide. It’s located just below Block 5.
* For Block 1 and Block 5, the total possible points (B1T and B5T) will change depending on how you answer the questions. See instructions for these two blocks at the end of the blocks themselves.
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| 2A Final Score | Enter Scores B1, B2, B3, B4, and B5 to obtain 2A Final Score (0-100), with 100 being maximum score. |

Section 2: Assessment

National Context: In this space, please provide a description of how “family farming” or the most relevant concepts are defined in your country. Is “family farming” defined, or is “small scale farmer?” If they are not, how are large and small scale farming differentiated, if at all?

[INSERT TEXT HERE]

Block 1: National Strategy and Political Will

Methodology: Dashboard, in consultation with WRF and WAW

To Score: Use *Indicator 2A Rubric* below Block 5

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| 1 | Has the government designed and implemented a medium- or long-term strategy and/or public policies to increase the capacity of the Family Farming sector to fulfill its multifunctional roles in national development?  | Y/N |
| Ref: |  |  |
| 1(a) | If response to Q1 is Y, then: Was there a participatory process\* to build this national strategy? If response to Q1 is N, this question is N/A. | Y/N N/A |
| Ref: |  |  |
| 2 | Has the state developed a register of family farmers? | Y/N |
| Ref: |  |  |
| 3 | To what extent has land reform been implemented?  | 0-3 |
| Ref: |  |  |
| 4 | Does that State support the self-provision of food and diversification?  | 0-3 |
| Ref: |  |  |
| 5 | Do programs exist to support individual and collective gardens and/or orchards?  | Y/N |
| Ref: |  |  |
| 6 | Does the State invest in the promotion of local food procurement schemes?  | Y/N |
| Ref: |  |  |
| 7 | Has the State adopted policies or programs with goals of achieving food sovereignty?  | 0-3 |
| Ref: |  |  |
| 8 | Has the State adopted any provisions of the VGGTs or incorporated them into existing policies or programs?  | 0-3 |
| Ref: |  |  |
| 9 | Has the State applied key decisions made by the Committee on World Food Security?  | 0-3 |
| Ref: |  |  |
| 10 | Has the State adopted International Treaty on Plant Genetic Resources for Food and Agriculture?  | Y/N |
| Ref: |  |  |
| B1 Total: Here, sum the scores from all the (10) questions above, including Q1(a) if applicable.  | B1.a |
| Block 1 Total Possible: If Q1(a) was marked N/A, the Block 1 Total is 30. Otherwise, the Block 1 Total is 33. | B1T |
| Block 1 Score (0-100), calculated as $\left(\frac{B1.a}{B1T}\right) × 100 =$ | B1 |

\*Participatory process: When answering this question, consider to what degree the process was *truly* participatory: Was there an agreement on guidelines to be used for family farming? Did the family farming participate in the development of the national strategy? A phone call from the government informing the sector of their decisions, for example, should not be considered truly “participatory.”

Block 2: Providing a Favorable Investment Climate

Methodology: Dashboard, in consultation with WRF and WAW

To Score: Use *Indicator 2A Rubric* below Block 5

Derives: No other indicators

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| 1 | Is education accessible to family farmers?  | 0-3 |
| Ref: |  |  |
| 2 | Do family farmers have access to basic infrastructure?  | 0-3 |
| Ref: |  |  |
| 3 | Does the Government provide access to school feeding programmes?  | 0-3 |
| Ref: |  |  |
| 4 | Does the State have policies to facilitate access to land and family farming for youth? | 0-3 |
| Ref: |  |  |
| 5 | Do transport and market infrastructures exist?  | Y/N |
| Ref: |  |  |
| 6 | Do market information systems exist?  | Y/N |
| Ref: |  |  |
| 7 | Are there mechanisms to support cooperative and collective action?  | Y/N |
| Ref: |  |  |
| 8 | Are there regulatory mechanisms for contract farming?  | Y/N |
| Ref: |  |  |
| 9 | Do policies or programs exist in support of Short Food Supply Chains?  | Y/N |
| Ref: |  |  |
| 10 | Are there policies or programs that incentivize rural women’s production? | Y/N |
| Ref: |  |  |
| Block 2 Total (0-30) | B2.a |
| Block 2 Score (0-100), calculated as $\left(\frac{B2.a}{30}\right) × 100 =$ | B2 |

Block 3: Improving Economic Viability through Research and Extension

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| 1 | State supports research and development for a diversity of crops, including traditional crops?  | 0-3 |
| Ref: |  |  |
| 2 | Does the State support the development of an agro-ecological model of farming? | 0-3 |
| Ref: |  |  |
| Block 3 Total (0-6) | B3.a |
| Block 3 Score (0-100), calculated as $\left(\frac{B3.a}{6}\right) × 100 =$ | B3 |

Block 4: Investing Beyond the Farm

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| 1 | Do public policies exist to guide private investment in rural areas?  | Y/N |
| Ref: |  |  |
| 2 | Is the government engaged in decentralizing process, related to governance for agriculture?  | 0-3 |
| Ref: |  |  |
| 3 | Are investment strategies coordinated across administrative boundaries?  | Y/N |
| Ref: |  |  |
| Block 4 Total (0-9) | B4.a |
| Block 4 Score (0-100), calculated as $\left(\frac{B4.a}{9}\right) × 100 =$ | B4 |

Block 5: National Platform for Family Farming

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| 1 | Does a national committee, directorate, institution, similar platform or other mechanism of political dialogue on family farming exist?  | Y/N |
| Ref: |  |  |
| 1a | If response to Q1 is Yes, is the Government participating in it? If response to Q1 is no, this question is N/A. | Y/N N/A |
| Ref: |  |  |
| Block 5 Total – Here, sum the scores from all the questions above, including Q1(a) if applicable. | B5.a |
| Block 5 Total Possible – If Q1(a) was marked N/A, the Block 5 Total is 3. Otherwise, the Block 5 Total is 6. | B5T |
| Block 5 Score (0-100), calculated as $\left(\frac{B5.a}{B5T}\right) × 100 =$ | B5 |

*Indicator 2A Rubric*: Use to Score All Blocks of Indicator 2A

Adopted from LandMark

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| Description | Score |
| No, this issue is not addressed. There are no attempts by the law or the state to meet the issue addressed in the indicator. | 0 |
| Partial, there has been only limited progress towards addressing the issue. The state or legal framework addresses the indicator, but insignificantly. | 1 |
| Partial, there has been significant progress towards addressing the issue. The state or legal framework has made significant progress towards, but does not entirely meet the issue addressed in the indicator. | 2 |
| Yes, the issue is fully addressed. The state or legal framework clearly or expressly meets the issue addressed in the indicator. | 3 |
| The indicator is not applicable. Not applicable is used in cases where the subject matter in question is non-existent. | N/A |

Section 3: Results

Compute Total Score:

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| Block 1 Score  | B1 |
| Block 2 Score | B2 |
| Block 3 Score | B3 |
| Block 4 Score | B4 |
| Block 5 Score  | B5 |
| 2A Final Score (0-100) | $$\left[\frac{\left(B1\right)+\left(B2\right)+\left(B3\right)+\left(B4\right)+\left(B5\right)}{5}\right]× 100$$ |

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| 2A Final Score | 0-100 |