

Dashboard Methodology: 9A

Commitment 9: Effective Actions Against Land Grabbing

9A	Legal and institutional framework in place at national level to prevent land grabbing in private and public investments and includes the existence of procedural safeguards
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Name of Respondent:

Organization:

City, Country:

Date:

Coding (for Internal Use):

Section 1: Description of Indicator, Implementation and Scoring

Method to be Used	Expert-Based Assessment	
Introduction	Indicator 9A measures the presence of a legal and institutional framework to prevent and safeguard against land grabbing in private and public investments.	
Source of Methodology	ActionAid VGGT Toolkit and Transparency International	
Data Needed	The information necessary for this indicator can be found in the countries' national laws, its constitution, and ratified international agreements and conventions.	
How to Implement	<ol style="list-style-type: none"> 1. Questions in indicator 9A should be answered by a chosen representative of the stakeholder group that is implementing the indicator. <ol style="list-style-type: none"> a. No specific requirements apply, though knowledge of national laws and policies related to private and public investments, as well as regulations and safeguards in place to prevent land grabbing, will be beneficial. 2. Indicator 9A has only one section, which we refer to as a "block." 3. In Section 2 you will find one block (Block 1) that includes a number of questions that should be answered according to the scoring system outlined below. 4. The resulting number will be the "Block 1 Score" or B1 and it will be used in Section 3 to obtain the final score for Indicator 9A. 	
How to Score	Block 1:	For each question, assign the corresponding score (0-3) from the rubric provided. Provide justifications and references and, at the end of Block 1, sum the scores from these three questions to derive B1.
	Block 2:	For each question, assign the corresponding score (0-3) from the rubric provided. Provide justifications and references and, at the end of Block 2, sum the scores from these three questions to derive B2.
	Final Score	Add B1 and B2 and divide by two to derive the Final Score for Indicator 9A.

Section 2: Assessment

Block 1: Land transactions exceeding a certain scale are subject to high-level government approval and environmental safeguards

Methodology: ActionAid VGGT Toolkit

The Score: Use *Indicator 9A Rubric* below.

1	Does the law provide a ceiling on the scale of land that one legal entity (natural or legal person) can hold?	0-3
Ref:	Give brief justification of response here; add applicable references.	
2	Are there systematic vetting mechanisms through the parliament to regulate large-scale land transfers?	0-3
Ref:	Give brief justification of response here; add applicable references.	
3	Do government policies prioritise smallholder producers and keep the land in the hands of community users?	0-3
Ref:	Give brief justification of response here; add applicable references.	
4	Does the government include smallholders in the formulation of rural development, agriculture or livelihood strategies?	0-3
Ref:	Give brief justification of response here; add applicable references.	
5	Does the law provide for communities' meaningful and participatory consultation, prior, during, and post consultation, in relation to projects that would or are likely to affect their land, forest or fisheries tenure rights?	0-3
Ref:	Give brief justification of response here; add applicable references.	
6	Is there an independent institutional body in place to certify that the environment and social impacts assessment provided by corporate actors is sound, and has been done in a participatory and inclusive manner?	0-3
Ref:	Give brief justification of response here; add applicable references.	
Total Score – sum points given to six questions above: (0-18)		B1.a
Block 1 Score (0-100), calculated as $\left(\frac{B1.a}{18}\right) \times 100 =$		B1

Block 2: Free, Prior and Informed Consent (FPIC) and Redress

Methodology: Transparency International

The Score: Use *Indicator 9A Rubric* below.

1	Is the principle of “free, prior and informed consent” embedded in national land legislation, or other accepted and applied land governance mechanisms?	0-3
Ref:	Give brief justification of response here; add applicable references.	
2	Do you consider existing redress mechanisms for land in your country to be satisfactory?	0-3
Ref:	Give brief justification of response here; add applicable references.	
Total Score – sum points given to two questions above (0-6)		B2.a
Block 2 Score (0-100), calculated as $\left(\frac{B2.a}{6}\right) \times 100 =$		B2

Indicator 9A Rubric: Use to Score All Blocks

Adapted from LandMark

Description	Score
No, issue is not addressed at all. There are no attempts to meet the issue addressed in the indicator.	0
Partial, only limited progress has been made towards addressing the issue. The current framework addresses the indicator, but insignificantly.	1
Partial, significant progress has been made towards addressing the issue. The current framework makes significant progress towards, but does not entirely meet the issue addressed in the indicator.	2
Yes, the current framework addresses the issue fully. The issue addressed in the indicator is clearly or expressly addressed.	3

Section 3: Results

Compute Total Score:

Block 1 Score (0-100)	B1
Block 2 Score (0-100)	B2
9A Final Score: (0-100)	$\left(\frac{B1 + B2}{2}\right)$

9A Final Score	0-100
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